

Types of HSC Review – Quick Reference

HSC Application Form	Examples of Studies	Informed Consent	Submission Deadlines
Exempt Review	<ul style="list-style-type: none"> • Retrospective chart reviews without identifiers recorded • Low risk educational research • Anonymous surveys • Identifiable surveys on benign topics 	<p>No consent requirements for retrospective studies.</p> <p>Low risk projects and surveys may use a letter of introduction, fact sheet or introductory paragraphs on the survey itself.</p>	No deadlines; rolling review
Expedited Review	<ul style="list-style-type: none"> • Retrospective chart reviews that use a linking list • Prospective registry of clinical data • Repository with biospecimens and clinical data • Non-invasive procedures in healthy adults • Behavioral interventions that are minimal risk. • Focus groups and interviews 	<p>Retrospective studies typically qualify for a waiver of consent.</p> <p>Prospective studies generally require written consent.</p> <p>KUMC templates can be used; alternatively, a letter format is permissible.</p> <p>Consents for telephone or web surveys must address standard consent topics, but the signature requirement can be waived.</p> <p>Consent signature also may be waived for focus groups or interviews on sensitive topics or when signatures would not be appropriate because of cultural considerations.</p>	No deadlines; rolling review
Full-Committee Review	<ul style="list-style-type: none"> • Clinical trials • Behavioral interventions involving greater than minimal risk (e.g., vulnerable populations, sensitive diagnoses) • Genetic research 	Written consent is required. Consent templates are available, for FDA and non-FDA research.	Deadlines are posted on the HSC website
Not-Human Subjects Research Determination	<ul style="list-style-type: none"> • Receipt of data or specimens that were collected for clinical purposes and have been de-identified by a third party 	N/A	No deadlines
Quality Improvement Determination	<ul style="list-style-type: none"> • Chart reviews to determine if clinical guidelines are being followed • Interventions to implement professional standards • Interventions solely to improve patient care • Needs assessments 	N/A	No deadlines